

COPY OF PAPERS
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Docket: 1111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James F. McGuckin, Jr., et al

Serial No: 10/057,022

Examiner: Unknown

Filed: January 25, 2002

Group Art Unit: 3763

For: **PERTIONEAL DIALYSIS CATHETER AND INSERTION METHOD**

Assistant Commissioner of Patents
Washington, D.C. 20231

DRB
#2
MAY 15 2002
RECEIVED
PG 3100 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56, Applicants submit the enclosed PTO 1449 for the Examiner's consideration.

It is respectfully requested that the reference(s) listed on Form PTO-1449 be considered during Examination of the above-identified application and made of record therein. This submission is believed to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98. Copies of the references previously cited by or submitted to the Patent and Trademark Office in parent application serial nos. 10/025,505, filed December 19, 2001, and 10/025,506, filed December 19, 2001, are not provided herein pursuant to MPEP Section 609.

The citation of the listed item(s) is not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art. The item(s) listed is/are submitted in good faith, but is/are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner, it will assist the Examiner in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

☒ This information disclosure statement is being filed within three (3) months of the filing date of this application.

☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.

☒ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action in connection with this case.

☐ Enclosed is a certificate under 37 C.F.R. §1.97 (e)(i).

☐ Enclosed is a petition under 37 C.F.R. §1.97 (d)

☐ Please charge the petition fee of \$130.00 required under 37 C.F.R. §1.17 (i)(1) to Deposit Account No. 501567

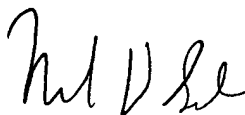
☐ As set forth in 37 C.F.R. §1.97 (c), to the best of Applicant(s) knowledge, this information disclosure statement is being filed before either the mailing of a final action under 37 C.F.R. §1.113 or the mailing of a notice of allowance under 37 C.F.R. §1.113, and is accompanied by the \$240.00 fee as provided for in 37 C.F.R. §1.17(p).

☐ Please charge the \$240.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 501567.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 37 C.F.R. §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 501567. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend time as required and charge Deposit Account No. 501567 therefor. **TWO COPIES OF THIS SHEET ARE ENCLOSED.**

Early and favorable consideration of the case is respectfully requested.

Dated: 5/1/02



Neil D. Gershon
Registration No. 32,225
Attorney for the Applicants

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2023 Summer St.
Suite 2
Stamford, CT. 06905



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Sheet 1 of 2

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 1111	SERIAL NO. 10/057.022
APPLICANT James F. McGuckin, Jr., et al		
FILING DATE January 25, 2002		GROUP Art Unit: 3763

U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3 9 3 8 5 3 0	02/17/76	Santomieri			
	4 1 3 4 4 0 2	01/16/79	Mahurkar			
	4 4 5 1 2 5 2	05/29/84	Martin			
	4 4 9 3 6 9 6	01/15/85	Uldall			
	4 5 6 8 3 2 9	02/04/86	Mahurkar			
	4 5 8 3 9 6 8	04/22/86	Mahurkar			
	4 6 1 9 6 4 3	10/28/86	Bai			
	4 6 2 3 3 2 7	11/18/86	Mahurkar			
	4 6 4 3 7 1 1	02/17/87	Bates			
	4 6 8 2 9 7 8	07/28/87	Martin			
	4 7 3 8 6 6 7	04/19/88	Galloway			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		0 4 4 0 9 9 2	08/14/91	EPO				
	WO	0 1 7 6 6 7 7	10/18/01	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Journal of Vascular and Interventional Radiology 12:376-378 (2001). "Sheathless Technique of Ash Split-Cath Insertion", Aalpen Patel, MD, Stephen Hofkin, MD, David Ball, DO, Gary Cohen, MD and Douglas C. Smith, MD.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Sheet 2 of 7

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 1111	SERIAL NO. 10/057,022
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		RECEIVED JAN 15 2002 TJC 3700 MAIL ROOM
	APPLICANT James F. McGuckin, Jr., et al	
	FILING DATE January 25, 2002	GROUP Art Unit: 3763

U.S. PATENT DOCUMENT

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4 8 0 8 1 5 5	02/28/89	Mahurkar			
		4 8 0 8 1 6 3	02/28/89	Laub			
		4 9 5 0 2 5 9	08/21/90	Geary, et al			
		4 9 6 8 3 0 7	11/06/90	Dake, et al			
		4 9 9 4 0 2 7	02/19/91	Farrell			
		5 0 5 3 0 0 4	10/01/91	Markel, et al			
		5 0 5 3 0 2 3	10/01/91	Martin			
		5 0 5 7 0 7 3	10/15/91	Martin			
		5 1 2 2 1 2 5	06/16/92	Deuss			
		5 1 3 9 4 8 6	08/18/92	Moss			
		5 1 6 7 6 2 3	12/01/92	Cianci, et al			
		5 1 9 5 9 6 2	03/23/93	Martin, et al			

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER	DATE CONSIDERED
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Sheet 3 of 7

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		APPLICANT James F. McGuckin, Jr., et al	
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5 2 0 7 6 4 8	05/04/93	Gross			
		5 2 0 7 6 5 0	05/04/93	Martin			
		5 2 0 9 7 2 3	05/11/93	Twardowski, et al			
		5 2 1 5 5 2 7	06/01/93	Beck, et al			
		5 2 2 1 2 5 5	06/22/93	Mahurkar, et al			
		5 2 2 1 2 5 6	06/22/93	Mahurkar			
		5 2 4 6 4 3 0	09/21/93	MacFarlane			
		5 2 7 3 5 2 7	12/28/93	Schatz, et al			
		5 3 4 6 4 7 1	09/13/94	Raulerson			
		5 3 4 8 5 3 6	09/20/94	Young, et al			
		5 3 5 0 3 5 8	09/27/94	Martin			

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

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Sheet 4 of 7

MAY 13 2002



EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	1	9	6	9	9	6	03/06/01	Teirstein			
		6	2	1	0	3	6	5	04/03/01	Afzal			
		6	2	6	4	6	2	7	07/24/01	Liska, et al			
		6	2	7	3	8	7	9	08/14/01	Keith, et al			
		6	2	8	0	4	2	3	08/28/01	Davey, et al			
		6	2	9	3	9	5	8	09/25/01	Berry, et al			
		6	2	9	6	6	3	1	10/02/01	Chow			

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